



IAP6 Rec'd PCT/PTO 27 FEB 2006

PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PETITION FOR REFUND OF APPLICATION FEE IN VIEW OF SMALL ENTITY STATUS

APPLICANTS: Martin KOCH et al ATTY: DOCKET NO.: P05,0327
SERIAL NO.: 10/557,693
FILED: November 22, 2005
TITLE: "MULTIPLE COUPLING LOCKS AND MULTIPLE DOCKING DEVICES CONTAINING SAID MULTIPLE COUPLING LOCKS"

MAIL STOP PETITION

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

S I R:


In the PCT national stage entry application form filed November 22, 2005, (PTO Form 1390) – Exhibit 1 attached – Applicants intended to file as a small entity since GEA Buck Valve GmbH, the assignee, is a small entity. Although the small entity claim rate of \$25.00 for each total claim over 20 and the rate of \$100.00 for each independent claim over 3 was properly indicated on the form, an error was made in the basic fee amount which should have been \$450.00 instead of \$900.00. Consequently, Applicants request a refund of \$450.00 of the basic fee amount.

Furthermore, it is noted that the Deposit Account 501519 was charged \$725.00 on November 30 under Fee Code 1615 and \$200.00 on November 30 under Fee Code 1614. Applicants also request herein a refund of these two deposit account charges in view of the small entity status and since the original claim fee for independent and total claims was proper in the original form.

Attached as Exhibit 2 in yellow highlighting is Applicants Deposit Account Statement showing the two amounts of \$725.00 and \$200.00 requested for refund.

In conclusion, Applicants seek a total refund of \$450.00 plus \$725.00 plus \$200.00 equals \$1375.00. This refund is to be applied as a refund to Applicants' Deposit Account No. 501519.

Submitted by,

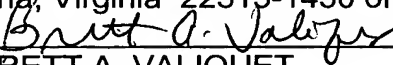


(Reg. 28,982)

SCHIFF, HARDIN LLP
CUSTOMER NO. 26574
Patent Department
6600 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606
Telephone: 312/258-5790
Attorneys for Applicants.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on February 23, 2006.



BRETT A. VALIQUET

CH1\ 4482861.1

FORM PTO-1390
REV. 5-93

US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER

ALL-STATE LEGAL®

EXHIBIT

1

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

P05,0327

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/DE2004/001721

INTERNATIONAL FILING DATE
30 July 2004

PRIORITY DATE CLAIMED
01 August 2003

TITLE OF INVENTION:

"MULTIPLE COUPLING LOCKS AND MULTIPLE DOCKING DEVICES CONTAINING SAID MULTIPLE COUPLING LOCKS"

APPLICANT(S) FOR DO/EO/US

Martin KOCH, Joachim STOYE and Stefan VOGLER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **Unexecuted**
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ A substitute specification. **Marked up version of Substitute Specification**
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a. ☒ **EXPRESS MAIL #EV 635786116 US Mailed November 22, 2005.**
 - b. ☐
 - c. ☐

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)		INTERNATIONAL APPLICATION NO. PCT/DE2004/001721		ATTORNEY'S DOCKET NUMBER P05,0327	
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17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5): (Search Report has been prepared by the EPO or JPO) \$900.00 (Includes National Stage, Search, and Examination Fees) <div style="text-align: right; margin-top: 10px;"> ENTER APPROPRIATE BASIC FEE AMOUNT = \$900.00 </div>				CALCULATIONS	PTO USE ONLY
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).					
Claims	Number Filed	Number Extra	Rate		
Total Claims	53-20 =	33	X \$25.00	\$825.00	
Independent Claims	4-3 =	1	X \$100.00	\$100.00	
Multiple Dependent Claims			\$300.00 +		
TOTAL OF ABOVE CALCULATIONS =				\$1825.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)					
SUBTOTAL =				\$1825.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$00
TOTAL NATIONAL FEE =				\$1825.00	
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property				+	
TOTAL FEES ENCLOSED =				\$1825.00	
				Amount to be refunded	
				charged	

a. ☒ A check in the amount of **\$1825.00** to cover the above fees is enclosed.

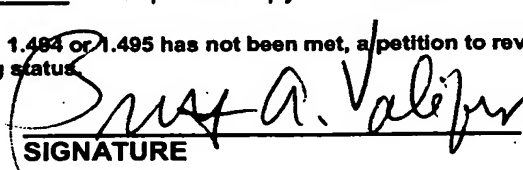
b. ☐ Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **501519**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.484 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

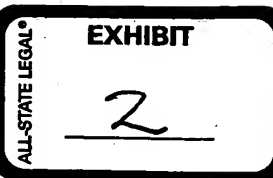
SEND ALL CORRESPONDENCE TO:

Schiff Hardin LLP
Patent Department
6600 Sears Tower
Chicago, Illinois 60606-6473
Customer Number 26574


 SIGNATURE

Brett A. Valiquet
 NAME

27,841
 Registration Number

**United States
Patent and
Trademark Office**

Return To:

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Page**Deposit Account Statement**

Requested Statement Month: November 2005
Deposit Account Number: 501519
Name: SCHIFF HARDIN LLP
Attention: PAM VANDERMEER
Address: 6600 SEARS TOWER
City: CHICAGO
State: IL
Zip: 60606-6473
Country: UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/03	4	10527875		9204	-\$500.00	\$9,924.25
11/03	5	10527875		1642	\$400.00	\$9,524.25
11/08	12	10532790		9204	-\$100.00	\$9,624.25
11/08	154	11266669	P03.0044-01	1202	\$150.00	\$9,474.25
11/18	3	10660385	P03,0324	1201	\$200.00	\$9,274.25
11/18	273	10760970	31553-0017	8007	\$20.00	\$9,254.25
11/22	27	10618295	P03,0288	1202	\$50.00	\$9,204.25
11/25	12	10532293		9204	-\$100.00	\$9,304.25
11/30	1	10464983	P02,0371	1251	\$120.00	\$9,184.25
11/30	143	10557693	P05,0327	1615	\$725.00	\$8,459.25
11/30	145	10557693	P05,0327	1614	\$200.00	\$8,259.25
11/30	345	0091210738	28162-0013	8014	\$25.00	\$8,234.25

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$9,424.25	\$1,890.00	\$700.00	\$8,234.25

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